

ScotiaMcLeod Short Form Account Information Change (Legal Entity)



Account Number

E - New Investment Objectives and Risk Factors for this account
Please review Guidelines for Investment Objectives and Related Account Risk Factors in ScotiaMcLeod Terms and Conditions brochure.

Investment Objectives for this account (Total must = 100%)	Income _____ %	Long Term Capital Appreciation _____ %	Short Term Capital Appreciation / Speculative Trading _____ %
Account Risk Factors for your this account (Total must = 100%)	Low Risk Tolerance _____ %	Medium Risk Tolerance _____ %	High Risk Tolerance _____ %

F - Corporate entity only - Financial Information change

Year of Incorporation	Shareholder's Equity: 1. Less than \$500k 2. \$501k to \$1mm 3. \$1mm to \$5mm 4. \$5mm to \$25mm 5. Over \$25mm	Equity Code:
Controlling Shareholder(s) print names in full		

G - Other legal entity Financial Information change
 Estate Club Partnership Trust Tax Exempt NPO U.S.

Annual Gross Income of Other Legal Entity (from all sources)	1. Less than \$50k	2. \$51k to \$100k	3. \$101k to \$250k	4. \$251k to \$500k	5. Over \$500k	Code
Estimated Net Worth of Entity	A Net Liquid Assets (Cash / securities less loans) \$		+ B Net Fixed Assets (Fixed less liabilities) \$		= Total Net Worth (A+B) \$	

H - What you agree to when you sign this application

By signing below I / we confirm that:

- All of the information in this application is complete and accurate and I / we have read, understood and agreed to all of the terms and conditions relating to this account in the relevant sections of the **ScotiaMcLeod Terms and Conditions** brochure ("**Terms and Conditions**").
- I / we understand that the terms and conditions of this application and of **Terms and Conditions** are incorporated into and form part of the contract between me / us and ScotiaMcLeod, and govern operation of this account. They may be supplemented by written agreement but not replaced by the terms of other specific agreements between me / us and ScotiaMcLeod as the nature of the account may require.

The use of leverage may not be suitable for all investors. Using borrowed money, whether through a margin account or any other method of borrowing, to finance the purchase of securities involves greater risk than using cash resources only. If you borrow money to purchase securities, your responsibility to repay the loan, pay interest, and meet margin calls as required by the margin terms remains the same even if the value of the securities purchased declines.

Where more than three persons are authorized to give instructions in respect of this account, add an appendix page to cover the signatures of all authorized persons.

(MM/DD/YY)

Signature	Title	Date					
Signature	Title	Date					
Signature	Title	Date					

I - This comments section will be completed by your Investment Executive and Branch Manager

Other comments:						
AMO:			Attach account information change documentation received from client by:	<input type="checkbox"/> FAX	<input type="checkbox"/> Letter	
(MM/DD/YY)						
I.E. signature			Date			
B.M. approval	R.M.		Date			