

# The Pinnacle Program

## Pinnacle Program Annual Investment Policy Review

BRANCH			ACCOUNT				T	C
Name of Account Holder								

Research studies have shown that asset mix is the single most important investment decision, which means that it is the most important part of your Investment Policy Statement. The one year anniversary of your Investment Policy Statement is approaching. Your ScotiaMcLeod Investment Executive is required by the Pinnacle Program prospectus to conduct an annual review to ensure that your portfolio is meeting your stated investment needs.

Although we urge all investors to take a long term view when designing their Investment Policy, we recognize that circumstances can change which in turn could lead to a change in your Investment Policy. When reviewing your Investment Policy Statement, ask yourself if you are still comfortable with your time horizon, your return expectations and your risk tolerance. If you are not comfortable with your previous answers to these questions, then we suggest you go through the asset mix policy planning process again with the help of your Investment Executive.

After reviewing your Investment Policy Statement with your Investment Executive, please indicate whether you would like to continue with or modify the asset mix policy and the allocation to the selected Pinnacle Program Funds as detailed in your Investment Policy Statement.

Date of most recent Investment Policy Statement

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(MM DD YY)

Is the current policy to be maintained?

**YES**, I wish to continue with my long term Investment Policy Statement

*If you answered **YES** please sign at the bottom of the page.*

**NO**, I wish to modify my Investment Policy Statement

*If you answered **NO** to the question above, please complete a new Investment Policy Statement (CA34A) with your Investment Executive.*

Client Signature

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Investment Executive Signature

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(MM DD YY)

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I.E. Name

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I.E. Code

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